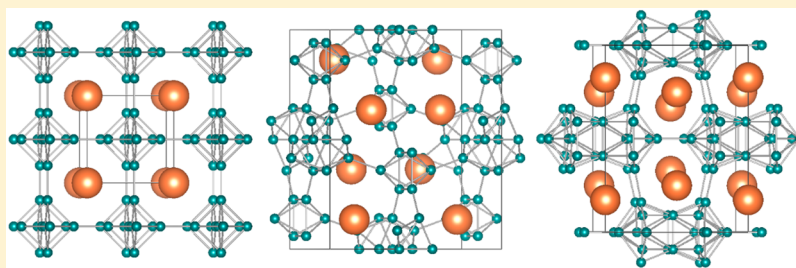


# High-Pressure Evolution of Unexpected Chemical Bonding and Promising Superconducting Properties of $\text{YB}_6$

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**ABSTRACT:** As the prototype of  $\text{MB}_6$  ( $M = \text{alkaline-earth and rare-earth metals}$ ) compounds,  $\text{YB}_6$  possesses the highest superconducting critical temperature in this family at the ambient pressure. Here, we performed a first principle exploration on the chemical bonding states and the corresponding electronic properties of  $\text{YB}_6$  at high pressure. Two phases with  $Cmcm$  and  $I4/mmm$  space groups are predicted using CALYPSO method, energetically more stable than the previously proposed structures. The B covalent network is eventually evolved from  $\text{B}_6$  octahedron in the cubic  $Pm-3m$  phase to  $\text{B}_{24}$  unit in  $I4/mmm$  phase. The calculated electron–phonon coupling parameters show that the contribution of B is significantly increased by the high-pressure effect in  $Cmcm$  and  $I4/mmm$  structure, contrary to that in  $Pm-3m$  structure. Further calculations of electron–phonon coupling indicate that the high-pressure phases are likely superconducting with the major contribution by boron phonon vibration.

## 1. INTRODUCTION

The metal hexa-borides ( $\text{MB}_6$ ) crystallized in the cubic CsCl structure have received considerable attention, due to their fundamental and technological importance.<sup>1–3</sup> The metal elements in the  $\text{MB}_6$  family mainly belong to alkaline-earth (Ca, Sr, and Ba) and rare-earth metals (Y, La, and Ce). For alkaline-earth-metal hexa-borides, they show semiconductivity and exhibit unusual weak ferromagnetic-like properties.<sup>4–6</sup> The rare-earth metal hexa-borides have a wide range of unusual physical properties, including heavy Fermion in  $\text{CeB}_6$ ,<sup>7</sup> ferromagnetic characteristics in  $\text{EuB}_6$ ,<sup>8</sup> Kondo semiconducting properties in  $\text{SmB}_6$ ,<sup>9,10</sup> and topological insulator states in  $\text{YbB}_6$ .<sup>11,12</sup> Therefore, the rare-earth hexa-borides have attracted great interest and been studied widely in the past decades.

Since the discovery of the highest superconducting critical temperature ( $T_c$ ;  $\sim 39$  K) in conventional superconductors under ambient pressure in  $\text{MgB}_2$ ,<sup>13–16</sup> the metal borides are investigated extensively to design new potential high-temperature conventional superconductors. As one of rare-earth hexa-borides,  $\text{YB}_6$  has superconducting properties with the highest  $T_c$  (1.5–8.4 K) in the  $\text{MB}_6$  family. Several theoretical and experimental studies have contributed to the understanding of the fascinating properties of  $\text{YB}_6$ , including the charge fluctuation using optical excitation measurements, and specific heat, resistivity, Hall and Seebeck coefficients, magnetization, hydrostatic density, and thermal expansion data based on the phonon density of states DOS.<sup>17,18</sup> High pressure has a

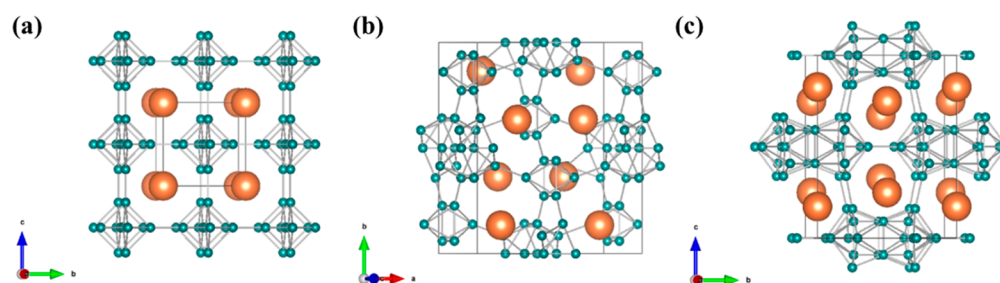
significant effect on modulating electronic band structures and dynamic properties, as well as superconducting states.<sup>19–23</sup> To explore the inherent physical mechanism for superconductivity in  $\text{YB}_6$ , Xu et al.<sup>24</sup> have performed systematic electron–phonon coupling (EPC) calculations and demonstrated that the superconductivity of  $\text{YB}_6$  mainly originates from the low-lying phonon frequency of the Y atom. The EPC becomes weak with the increase of pressure stemming from the hardening of the Y phonon frequency. Sluchanko et al. reported that the amount of vacancies at yttrium and boron sites can enhance the superconductivity.<sup>25</sup> Besides, it is well-known that the pressure can improve the electron–phonon coupling or regulate the  $T_c$ .<sup>26</sup> One of Y hydrides,  $\text{YH}_{10}$ , is predicted as a room-temperature superconductor with a  $T_c$  of up to 303 K at 400 GPa.<sup>27</sup> Therefore, the investigation of high-pressure phase and superconductivity of  $\text{YB}_6$  is highly desirable.

As the prototype of the  $\text{MB}_6$  family,  $\text{YB}_6$  is crystallized with CsCl-type structure and  $Pm-3m$  space group under ambient-pressure. Recently, the high-pressure structures of alkaline-metal hexa-borides ( $\text{CaB}_6$  and  $\text{BaB}_6$ ) have been synthesized using a diamond anvil cell combined with an ab initio structural search.<sup>28,29</sup> The structure will undergo phase

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**Figure 1.** Optimized crystal structures: (a)  $Pm\text{-}3m$ , (b)  $Cmcm$ , and (c)  $I4/mmm$ . The large orange and small green balls represent Y and B atoms, respectively.

transitions accompanied by the evolution of B unit from 6-atoms to 24-atoms under pressure. However, these high-pressure phases do not show superconductivity based on the calculated EPC parameter. For  $\text{LaB}_6$ , a phase transition from cubic  $Pm\text{-}3m$  to orthorhombic  $Pbnm$  induced by the lattice distortion was observed in the experiment under high pressure.<sup>30</sup> However, lack of a systematic investigation on the structural evolution for  $\text{YB}_6$  precludes the full understanding of its corresponding physical and chemical properties at high pressure.

In the current manuscript, we present a comprehensive study of pressure-induced structure transition of  $\text{YB}_6$  over the pressure range of 0–60 GPa using the CALYPSO structure prediction approach. Two phases with  $Cmcm$  and  $I4/mmm$  space groups have been predicted, which are energetically more stable at the pressure range of 3.2–35.8 GPa and above 35.8 GPa, respectively. The covalent network configuration for B atom is evolved with the increase of pressure, in which  $\text{B}_6$  octahedra in  $Pm\text{-}3m$  aggregate and transform into a  $\text{B}_{24}$  framework in  $I4/mmm$ . Volume and bond length, electronic density of states, phonon spectrum, and charge transfer for three phases are calculated to understand their electronic and dynamic properties. The analysis of bond length shows that the Y–Y and Y–B bonds in the currently predicted  $Cmcm$  and  $I4/mmm$  phases are shorter, while the B–B bond is evidently longer than those in the cubic phase at the same compression conditions. The calculated results suggest that the  $I4/mmm$  phase has the higher  $T_c$  compared with the  $Pm\text{-}3m$  phase at the same pressure, and the  $T_c$  values of three phases are slightly decreased with the increase of pressure due to the hardening of phonon. The  $T_c$  are 7.47 K at 0 GPa, 2.00 K at 5 GPa, and 3.75 K at 37 GPa for  $Pm\text{-}3m$ ,  $Cmcm$ , and  $I4/mmm$  under their stable pressure range, respectively.

## 2. COMPUTATIONAL METHODS

The structural search for  $\text{YB}_6$  was performed by swarm-intelligence-based CALYPSO method,<sup>31,32</sup> which can effectively explore the multidimensional potential energy surface and requires no prior structure information.<sup>33,34</sup> The CALYPSO method has been benchmarked on a variety of known systems and successfully predicted the ground-state structures of various systems ranging from elemental solids to binary and ternary compounds.<sup>35–44</sup> The structural search was carried out with simulation cell sizes of 1–4, 6, and 8 formula units (fu) at 0, 20, 40, and 60 GPa. In each prediction, the population size in a generation is set to 50. The proportion of the structures generated by particle swarm optimization is 60%. The rest of the structures are then randomly generated with symmetry. A total of 20–40 generations are performed to reach the criterion of convergence. When the predicted stable

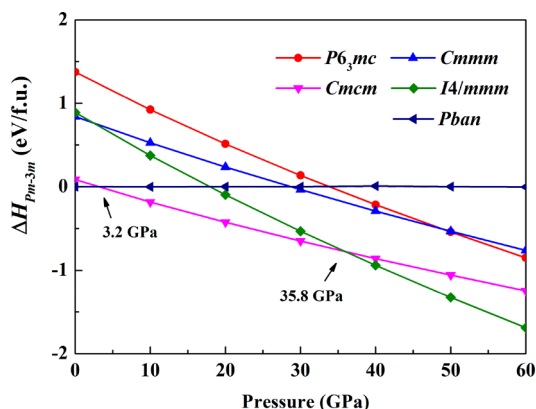
structure is not evolved after five generations, the prediction reaches the criterion of convergence. To search the potential energy landscape sufficiently, several individual predictions have been performed for the cell sizes with 4, 6, and 8 fu. More than 2500 configurations are sampled for each prediction of the cell sizes with 4, 6, and 8 fu. All prediction structures have been classified and checked by our SPAP program,<sup>45</sup> in which the coordination characterization function is used to assess structural similarity, and the similarity of structures have been eliminated. The calculated energy evaluation, structural optimization and electronic properties were performed in the framework of density functional theory as implemented in the VASP (Vienna Ab Initio simulation package) code,<sup>46</sup> described by projector-augmented wave (PAW) method,<sup>47,48</sup> where  $5s^24d^{11}$  and  $2s^22p^1$  electrons were taken as the valence electrons for Y and B atoms, respectively. The generalized gradient approximation of Perdew, Burke, and Ernzerhof (GGA-PBE) is adopted for the exchange correlation functional.<sup>49</sup> The plane-wave kinetic energy cutoff of 700 eV and a Monkhorst–Pack<sup>50</sup> K-spacing of  $2\pi \times 0.03 \text{ \AA}^{-1}$  in reciprocal space were chosen to ensure that total energy are converged to less than 1 meV/atom. The phonon dispersion is calculated based on the finite displacement method using a supercell approach<sup>51</sup> in Phonopy software.<sup>52</sup> Bader's quantum theory of atoms in molecules analysis was adopted for the charge-transfer analysis.<sup>53</sup> EPC calculation is explored by plane-wave pseudopotential method and density-functional perturbation theory, as implemented in the Quantum-ESPRESSO package.<sup>54</sup> The kinetic energy was used with a tested value of 70 Ry. A  $6 \times 6 \times 6$  MP  $q$ -point mesh with a  $12 \times 12 \times 12$  MP  $k$  mesh for  $Pm\text{-}3m$ , a  $4 \times 4 \times 4$  MP  $q$ -point mesh and  $8 \times 8 \times 8$  MP  $k$  mesh for  $Cmcm$  phase, and a  $5 \times 5 \times 5$  MP  $q$ -point mesh and  $10 \times 10 \times 10$  MP  $k$  mesh for  $I4/mmm$ , were chosen to ensure  $k$ -point sampling convergence.

## 3. RESULTS AND DISCUSSION

The previously known CsCl-type  $Pm\text{-}3m$  structure [Figure 1a] was successfully reproduced at ambient pressure, confirming the reliability of our calculations. The optimized crystal structure information on the  $Pm\text{-}3m$  phase is shown in Table 1, in good agreement with the previously calculated and experimental data. Six B atoms form the octahedron units, and Y atom and  $\text{B}_6$  unit locate at Cs and Cl sites in a unit cell, respectively. The calculated enthalpies per chemical formula unit of predicted low-energy structures with respect to the  $Pm\text{-}3m$  structure at the pressure range 0–60 GPa are plotted in Figure 2. At high pressure, two high-pressure phases were uncovered whose structure information are tabulated in Table 1, including two metastable phases ( $Cmcm$  and  $P6_3mc$ ). It is

Table 1. Optimized Structural Parameters for  $\text{YB}_6$ 

space group	pressure (GPa)	lattice parameters (Å)	atom coordinates (fractional)
<i>Pm-3m</i>	0	$a = b = c = 4.0984$	Y1 1a (0.1998,0.0,0.0) B1 6f (0.0, 0.0, 0.0)
<i>Cmcm</i>	5	$a = 8.6705,$ $b = 10.4126,$ $c = 5.5553$ $\alpha = \beta = \gamma = 90^\circ$	Y1 8g (0.2945,0.3649,0.25) B1 8f (0, 0.0600, 0.4069) B2 8f (0,0.2266,0.4087) B3 8f (0, 0.3586, 0.9175) B4 8f (0.0, 0.0, 0.5966) B5 8g (0.6462, 0.6391, 0.25) B6 8g (0.8337, 0.5847, 0.25)
<i>I4/mmm</i>	37	$a = b = 6.7480,$ $c = 8.8241$ $\alpha = \beta = \gamma = 90^\circ$	Y1 4e (0.5,0.5,0.6730) Y2 4d (0.5,0.0,0.25) B1 16n (0.5, 0.3036,0.9086) B2 16i (0.3789,0.8000,0.5) B3 16m (0.2745,0.2745,0.1604)
<i>Cmmm</i>	30	$a = 8.4718,$ $b = 6.8070,$ $c = 3.8725$ $\alpha = \beta = \gamma = 90^\circ$	Y1 4g (0.2986,0.5,0) B1 4h (0.4062,0,0.5) B2 8n (0,0.3051,0.2055) B3 4j (0,0.1182,0.5) B4 8q (0.1576,0.7241,0.5)
<i>P6<sub>3</sub>mc</i>		$a = b = 4.7478,$ $c = 5.2385$ $\alpha = \beta = \gamma = 90^\circ$	Y1 2b (0.3333,0.6667,0.8155) B1 6c (0.1154,0.8846,0.1178) B2 6c (0.2044,0.7956,0.4100)



**Figure 2.** Relative enthalpy per formula unit referenced to CsCl-type (*Pm-3m*) phase for competing structures as a function of pressure from 0 to 60 GPa.

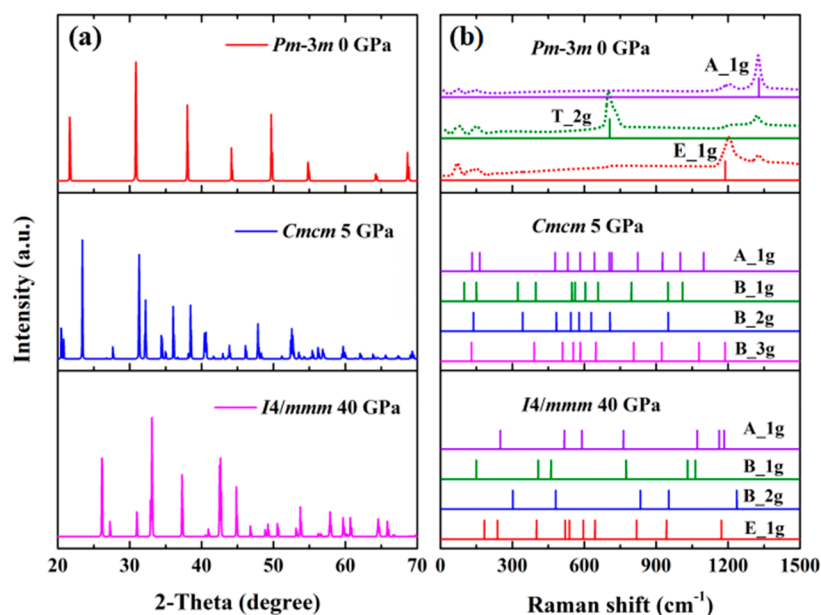
found that the evolution of structure and B covalent network is consistent with that in the  $\text{CaB}_6$  system under high pressure<sup>28</sup> but different from  $\text{LaB}_6$  and  $\text{BaB}_6$ .<sup>29,30</sup> An orthorhombic phase [Figure 1b] with the space group of *Cmcm* (8 f.u./cell) is found to become energetically stable above 3.2 GPa. In the *Cmcm* structure, half of  $\text{B}_6$  octahedra collapses and opens up to form zigzag strips, accompanied by a distortion of the remaining  $\text{B}_6$  octahedra and Y atoms under compression. With the increase in pressure, we identify a tetragonal *I4/mmm* (8 f.u./cell) [Figure 1c] phase, which become energetically more stable

than the *Cmcm* phase at 35.8 GPa. All  $\text{B}_6$  octahedral units collapse and form the 24-atom B unit with three others in *I4/mmm* structure. We also consider the stability of the *Pban* phase proposed in a previous  $\text{LaB}_6$  study.<sup>30</sup> The calculated difference of enthalpy for *Pm-3m* and *Pban* phases is below 2 meV/f.u. in the pressure rang from 0 to 60 GPa, suggesting that both phases are energy-degenerate.

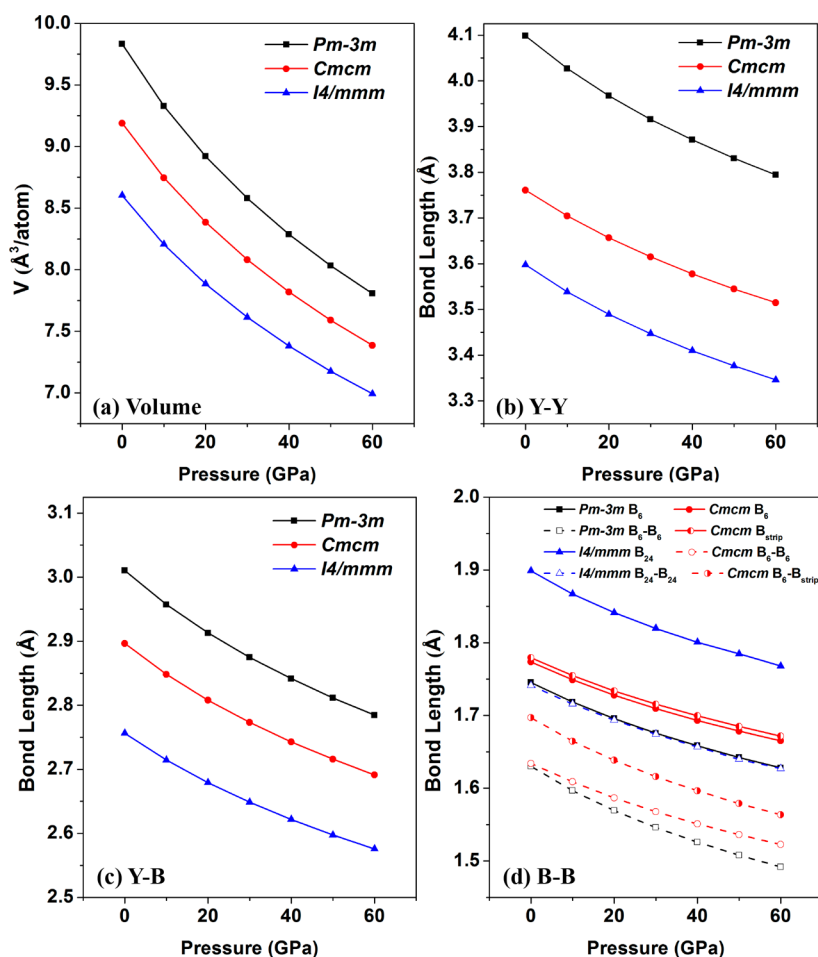
To assist the further experimental identification, the structural assignments for  $\text{YB}_6$ , we have shown the calculated frequencies of Raman modes and X-ray diffraction (XRD) data for pure *Pm-3m*, *Cmcm*, and *I4/mmm* at 0, 5, and 40 GPa, respectively [Figure 3]. Our results show that the calculated Raman peak positions of the *Pm-3m* phase at ambient conditions are in excellent agreement with experimental data. The calculated frequencies of  $\text{T}_{2g}$ ,  $\text{E}_g$ , and  $\text{A}_{1g}$  of *Pm-3m* phase are 706.1, 1188.3, and 1328.5  $\text{cm}^{-1}$ , respectively, which are in good agreement with experimental observation (e.g.,  $\text{T}_{2g}$  at 706  $\text{cm}^{-1}$ ,  $\text{E}_{1g}$  at 1205  $\text{cm}^{-1}$ , and  $\text{A}_{1g}$  at 1320  $\text{cm}^{-1}$ )<sup>55,56</sup> From the measured Raman spectrum, the ambient structure is dominant up to 9<sup>56</sup> and 14 GPa,<sup>57</sup> where the *Cmcm* structure is theoretically well established as the thermodynamically stable phase, as shown in Figure 2. Therefore, there are large enthalpy barriers between these phases that cannot be easily overcome at experimental (room) temperature.<sup>56,57</sup>

As shown in Figure 4, the variation of volumes and average bond lengths of Y–Y, B–B, and Y–B for *Pm-3m*, *Cmcm*, and *I4/mmm* phases at pressure ranging from 0 to 60 GPa. The volumes and bond lengths of three phases are decreased with the increase of pressure. The relationship of volume for three phases is  $Pm-3m > Cmcm > I4/mmm$  at the same pressure because of the aggregation of  $\text{B}_6$  octahedron in *Cmcm* and *I4/mmm* structures. The recent experimental Raman observation<sup>58</sup> and theoretical calculations<sup>58,24</sup> show that the shorten Y–Y bonds for the *Pm-3m* phase will be accompanied by the soften phonon modes and reduced symmetry. For the bonds of Y–Y and Y–B, the relationship of three phases is consistent with volume, in which *Cmcm* and *I4/mmm* have shorter bonds compared with *Pm-3m* phase. Especially, the shorter Y–B bonds in *Cmcm* and *I4/mmm* phases may induce a stronger interaction between Y and B. For the B covalent network, the interpolyhedral bonds are shorter than intrapolyhedral bonds in three phases, indicating the interpolyhedron bonds are stronger than intrapolyhedral bonds. Different with the relationship of volume, and Y–Y and Y–B bond, both interpolyhedral and intrapolyhedral B–B bonds in the high-pressure *Cmcm* and *I4/mmm* phases are longer than that in *Pm-3m* phase. The longer B–B bond may weaken the interaction between two B atoms. Note that the B–B interoctahedral bond in *Cmcm* phase is shorter than the bond between  $\text{B}_6$  and B strip, while still longer than the bonds in *Pm-3m* phase. Previous study of alkaline-earth hexaborides found that the *Cmcm* phase is more stable when B–B interoctahedral bond is longer than that in *Pm-3m* phase.<sup>59</sup> Our results prove that this rule is also applicable in  $\text{YB}_6$ , and the transition pressure from *Pm-3m* to *Cmcm* phase in  $\text{YB}_6$  (3.2 GPa) is much lower than that in  $\text{CaB}_6$  (13.0 GPa).

In the *Pm-3m* phase, the interoctahedron B–B bonds form  $\sigma$  bonds and intraoctahedron B–B bonds are connected with  $\tau$  bonds.<sup>60,61</sup> From the calculated charge density and electron localization function plots [not shown], the  $\sigma$  bonds are much stronger than these  $\tau$  bonds. The phase transition from *Pm-3m* to *Cmcm* phase is accompanied by the collapse of half the  $\text{B}_6$  octahedrons and bonding into connected chains. The



**Figure 3.** Simulated X-ray diffraction (a) and Raman spectra (b) for  $\text{YB}_6$ . The previous Raman data<sup>55</sup> at ambient conditions have been shown for comparison.

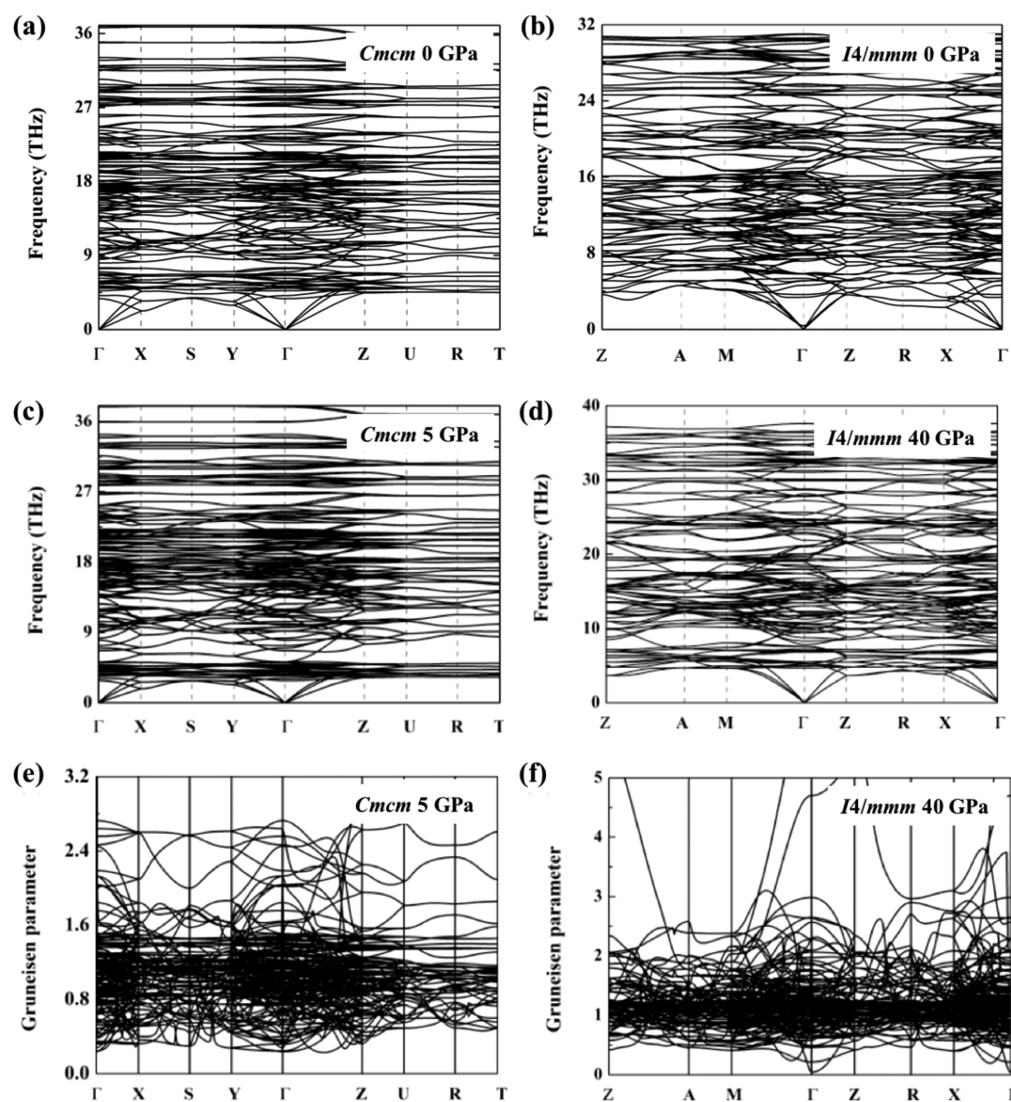


**Figure 4.** Calculated volume (a), average Y–Y (b), Y–B (c), and B–B (d) bond lengths of  $Pm\text{-}3m$ ,  $Cmcm$ , and  $I4/mmm$  phases as a function of pressure from 0 to 60 GPa.

exploration of the bond breaking, collective, and rebonding process for these phases to evaluate the corresponding kinetic barriers at high pressure will offer critical insights into the

underlying mechanisms for phase stability and transformation at atomic scale.<sup>19,62</sup> The previous Raman experiments show that there are large enthalpy barriers between these phases that



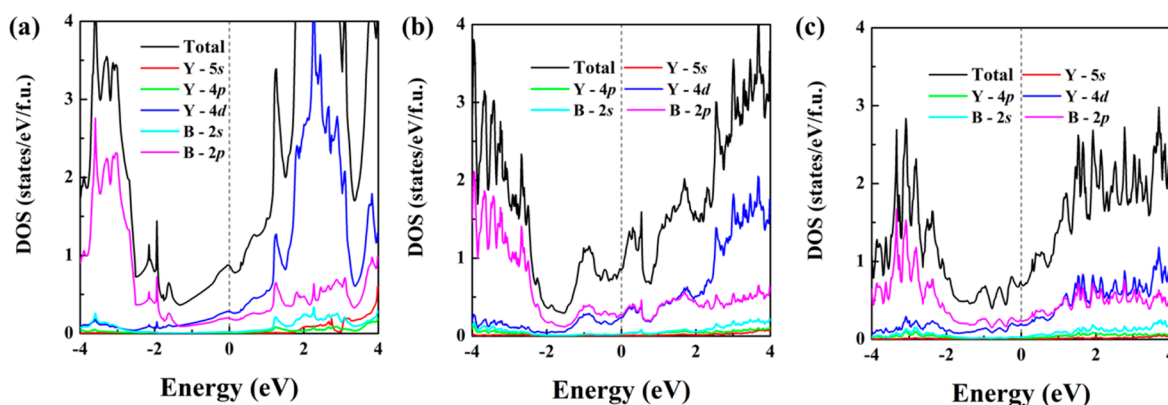


**Figure 5.** Calculated phonon-dispersion curves for (a) *Cmcm* at 0 GPa, (b) *Cmcm* at 5 GPa, (c) *I4/mmm* at 0 GPa, and (d) *I4/mmm* at 40 GPa. Calculated mode Gruneisen parameters for (e) *Cmcm* at 5 GPa and (f) *I4/mmm* at 40 GPa.

hinder the occurrence of phase transitions.<sup>56,57</sup> The transition process for the boride are sophisticated due to the rich variety of transition pathways between these phases, and these calculations are beyond the scope of this work. We hope that the current results will stimulate further theoretical or experimental explorations on the intriguing bonding evolution and kinetic barrier calculations.

The phonon dispersion curves of three stable structures were calculated and presented in Figure 5a–d. The mode Gruneisen parameters of *Cmcm* and *I4/mmm* phases are calculated and depicted in the Figure 5e,f. The results show that there is no imaginary frequency in the phonon dispersion curves from ambient pressure to their stable pressure (5 GPa for *Cmcm* and 40 GPa for *I4/mmm*), suggesting the dynamical stability of these three phases. Previous calculation showed that there is a small gap between acoustic and optical modes in the phonon of *Pm-3m* phase at 0 GPa.<sup>24</sup> According to the calculated projected phonon density of states [Figure 7], the acoustic and optical modes are mainly from the Y and B vibrations, respectively. It is interesting to note that there is no clear gap between acoustic and optical modes in the phonon spectra of high-pressure *Cmcm* and *I4/mmm* phases. For the

two high-pressure phases, the interatomic distances of Y–B are observably shorter than those of *Pm-3m* phase, while the B–B bond lengths become longer [Figure 4], indicating the covalent interactions between Y and B atoms are much enhanced. This special physical behavior makes the vibrational hardening and softening of Y and B atoms, respectively, and closes the gaps between the acoustic and optical modes for the high-pressure phases. The calculated mode Gruneisen parameters show the positive values, suggesting that the phonon frequencies of *Cmcm* and *I4/mmm* phases are predicted to have a positive pressure dependence with the increasing pressure and demonstrating that the increase of pressure can enhance the kinetic stability of *Cmcm* and *I4/mmm* phases. Previous calculation suggests that the phonon of *Pm-3m* softens to zero frequency at about 54.5 GPa, which will induce a phase transition.<sup>24</sup> Note that the pressure of transition from *Pm-3m* to *Cmcm* phase is 3.2 GPa, which is much lower than the pressure of phonon softening of *Pm-3m*. Such a big difference suggests that the phase transition is not directly induced by the phonon softening behavior, and the soft-mode phase transition is hidden by the transition to the *Cmcm* phase.



**Figure 6.** Calculated total and partial DOS of (a) *Pm-3m* at 0 GPa, (b) *Cmcm* at 5 GPa, and (c) *I4/mmm* at 37 GPa. The Fermi level is at zero and represented using dashed line.

To understand the electronic properties of *Pm-3m*, *Cmcm*, and *I4/mmm*, the partial densities of states (PDOS) were calculated at their stable pressure as shown in Figure 6. Three phases all exhibit the metallic behavior, and the Fermi level is dominated by Y 4d and B 2p orbitals. Note that the densities of states at the Fermi level of three structures are not located at minimum states like that in  $\text{CaB}_6$  because Y has one more valence electron than the Ca atom, indicating three  $\text{YB}_6$  phases have large densities of states at Fermi level compared with  $\text{CaB}_6$ . The values of densities of states at Fermi level are shown in Table 2. Under ambient pressure, the densities of states at

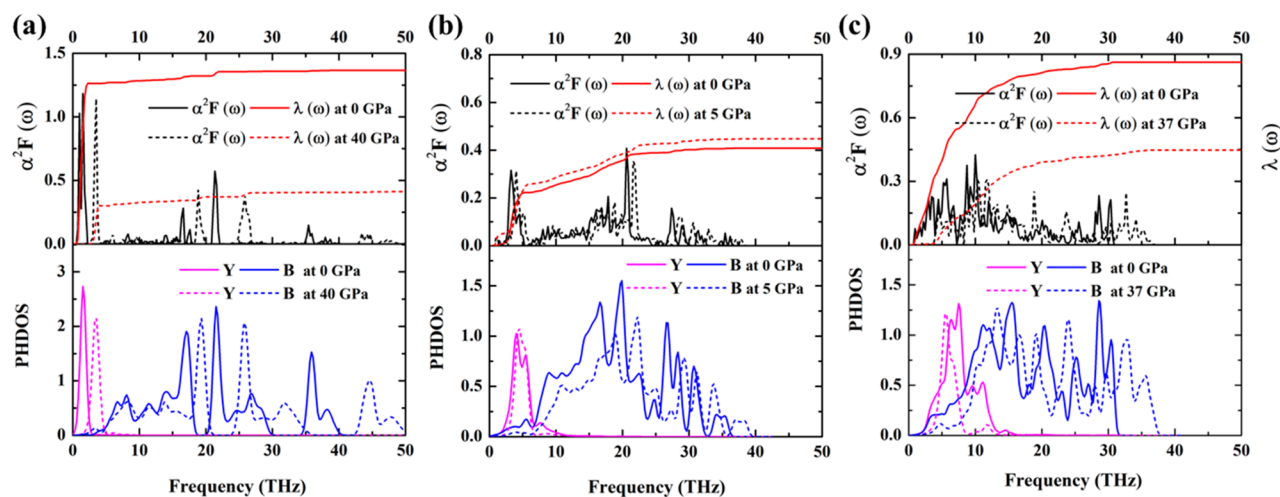
**Table 2.** Calculated DOSs at Fermi Level ( $N_{\text{Ef}}$ ), Logarithmic Average Frequency ( $\omega_{\text{log}}$ ), EPC Integral ( $\lambda$ ), and Superconducting Critical Temperature ( $T_c$ ) for *Pm-3m* at 0 and 40 GPa, *Cmcm* at 0 and 5 GPa, and *I4/mmm* at 0 and 37 GPa

	$N_{\text{Ef}}$ (states/eV/f.u.)	$\omega_{\text{log}}$ (K)	$\lambda$	$T_c$ (K)
<i>Pm-3m</i> (0 GPa)	1.36	72.31	0.87	7.47
<i>Pm-3m</i> (40 GPa)	0.41	254.92	0.77	1.27
<i>Cmcm</i> (0 GPa)	0.41	324.75	0.73	1.75
<i>Cmcm</i> (5 GPa)	0.45	268.15	0.85	2.00
<i>I4/mmm</i> (0 GPa)	0.86	502.52	0.73	12.78
<i>I4/mmm</i> (37 GPa)	0.45	234.78	0.72	3.75

Fermi level in *Cmcm* and *I4/mmm* phases are slightly lower than the value in *Pm-3m* states. As the pressure increases, the density of states at Fermi level have a negative pressure dependence for both *Pm-3m* and *I4/mmm* phases, but with a positive dependence for the *Cmcm* phase.

The Bader charge analysis has been employed to characterize the charge transfer between Y and B. For  $\text{YB}_6$  stable phases, B covalent networks are electron-deficient, and two electrons are transferred from one Y atom to B covalent networks to fill the intrabonding orbital. The calculated electron-transfer from Y to B in *Pm-3m* at 0 GPa is about 1.8  $e$ , in reasonable agreement with Wade's rule. Previous calculation<sup>12</sup> of charge transfer from Y to B for *Pm-3m* structure based on Mulliken effective charges is above 2.0  $e$ , which is larger than the Bader results, in agreement with the fact that the Bader method usually slightly underestimates transferred charge. The calculated electron-transfer from Y to B for *Cmcm* at 5 GPa and *I4/mmm* at 37 GPa are 1.74 and 1.70  $e$ , respectively, which is slightly decreased compared with *Pm-3m* phase. The major reason is that the B–B bond in *Cmcm* and *I4/mmm* is much longer than that in the *Pm-3m* phase.

We have further performed the calculation of electronic-phonon coupling to investigate the superconductivity of the currently predicted high-pressure phases. The Eliashberg spectral function  $\alpha^2F(\omega)$  and the electron–phonon integral



**Figure 7.** Calculated projected phonon density of states (lower panels), Eliashberg EPC spectral function  $\alpha^2F(\omega)$  and integration  $\lambda(\omega)$  (upper panels) for (a) *Pm-3m* at 0 and 40 GPa, (b) *Cmcm* at 0 and 5 GPa, and (c) *I4/mmm* at 0 and 37 GPa.

$\lambda(\omega)$  as a function of frequency  $\omega$  for three phases at ambient and high pressure are shown in Figure 7. The value of  $\lambda$  is listed in Table 2. For *Pm-3m* phase at 0 GPa, the electron-phonon integral is mainly contributed by the low-lying frequencies of Y at 2.0 THz (86%) [Figure 7a], which agrees with the previous calculations. Interestingly, for the *Cmcm* phase, the proportion of low-frequency Y vibration in  $\lambda$  is decreased from 86% to 58% compared with the *Pm-3m* phase, while the proportion of B phonons is increased from 14% to 42%. We found that the peak of Y phonons locates at about 5 THz in the *Cmcm* phase, which is higher than the value in the *Pm-3m* phase (2 THz). This is induced by the smaller bond length between two Y atoms in the *Cmcm* phase, which enhance the force constants and make the phonon hardening. The contribution of B phonons for  $\lambda(\omega)$  is mainly from the midlying part (6–22 THz), which is associated with the wagging and bending mode of B atoms. For the *I4/mmm* phase, the frequency of Y phonons has a larger dispersion, the peak becomes higher to reach about 7 THz, and the B phonons become soft because of the larger B–B bond length compared with the *Pm-3m* phase. The Y and B modes are mixed with each other, which is similar to that in oP10-FeB<sub>4</sub> structure.<sup>63</sup> The contribution of this low-frequency Y and B vibration (<15 THz) for  $\lambda$  is about 80%.<sup>22</sup> Thus, the origin of  $T_c$  in the high-pressure structure from mixed Y–B contributions is different from the superconducting mechanism of *Pm-3m* with Y-stretching vibrations. The  $\lambda$  for *Pm-3m*, *Cmcm*, and *I4/mmm* phases are 1.36, 0.41, and 0.86 at 0 GPa, respectively. With the increase of pressure,  $\lambda$  for *Pm-3m* and *I4/mmm* phases decrease because of the phonon hardening of Y and B. Specially,  $\lambda$  for the *Cmcm* phase is slightly increased to 0.45 at 5 GPa, which may be induced by the higher electronic state at Fermi level. For the high-pressure phases, the B–B bond lengths are larger than that in the *Pm-3m* phase at the same compressive conditions, which can weaken the B–B interaction and soften the phonons of B vibrations. In principle, the phonon branches with low-lying optical modes from weak B–B bonds are beneficial to the electron–phonon coupling constant and thus the superconductivity.<sup>64</sup> However, the contribution for  $\lambda$  by the vibration of Y atoms is significantly decreased by the hardening phonons. Our theoretical results show that this competitive behavior does not effectively enhance the superconducting critical temperatures for YB<sub>6</sub>, which have even been reduced for the *Cmcm* phase. The superconducting  $T_c$  is evaluated through the Allen-Dynes modified McMillan equation, by the calculated logarithmic average frequency  $\omega_{\log}$ , Coulomb pseudopotential parameters  $\mu^*$ , and EPC integral  $\lambda$ . The calculated values of  $\omega_{\log}$  and  $T_c$  are shown in Table 2. Using typical  $\mu^*$  of 0.1, the estimated  $T_c$  values are about 7.47, 1.75, and 12.78 K for *Pm-3m*, *Cmcm*, and *I4/mmm* at 0 GPa, respectively. The  $T_c$  of *I4/mmm* phase is higher than the value of *Pm-3m* phase because the  $\omega_{\log}$  of *I4/mmm* (502.52 K) is evidently larger than the value of *Pm-3m* (72.31 K) at 0 GPa. The calculated  $T_c$  of *Pm-3m* and *I4/mmm* phases are 1.27 K at 40 GPa and 3.75 K at 37 GPa, stemming from the fact that EPC integral  $\lambda$  is significantly decreased with the increase of pressure. Especially for *Cmcm*, the  $T_c$  is 2.00 K at 5 GPa, which is larger than the value at 0 GPa due to the large  $\lambda$ .

#### 4. CONCLUSIONS

In summary, we have systematically investigated the phase stability and superconductivity of YB<sub>6</sub> under the pressure

ranging from 0 to 60 GPa. Two high-pressure phases (*Cmcm* and *I4/mmm*) have been predicted by CALYPSO structure prediction method. They were found to be energetically more stable at certain pressure ranges. The B covalent networks are evolved from 6-atom B octahedrons in *Pm-3m* to 24-atom B units in *I4/mmm*. The predicted high-pressure phases are dynamically stable at ambient and high pressure based on the calculated phonon spectra. The chemical bonding analysis found that the B–B bonds in *Cmcm* and *I4/mmm* phase are longer than those in ambient-pressure phase of *Pm-3m* structure. Electronic structure calculations show that both high-pressure phases are metallic with similar electronic states at Fermi level with the *Pm-3m* structure. Further electron–phonon coupling analyses indicate that the contribution of Y and B phonon vibrations for the electron–phonon coupling are increased and decreased in *Cmcm* and *I4/mmm* phases, respectively, contrary to that in the *Pm-3m* phase. The calculated superconductive critical temperatures for *Cmcm* and *I4/mmm* phases are 2.00 K at 5 GPa and 3.75 K at 37 GPa, respectively. Our study may stimulate the future high-pressure experiments on the structural and superconductivity measurements of YB<sub>6</sub>. Furthermore, our results provide useful knowledge for the high-pressure behavior of metal hexaborides family.

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##### Notes

The authors declare no competing financial interest.

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